



United States Department of the Interior

FISH AND WILDLIFE SERVICE  
Wildlife and Sport Fish Restoration Program  
1011 E. Tudor Road (MS-261)  
Anchorage, Alaska 99503-6199



In Reply Refer to:  
FWS/IR11/WSFR

MAR 11 2020

Ms. Samantha Gatton, Director  
Division of Administrative Services  
Alaska Department of Fish and Game  
P.O. Box 115526  
Juneau, Alaska 99811-5526  
DUNS: 809387475

Notice of Grant Award for F20AF00232

Dear Ms. *Samantha* Gatton:

Your application for Federal financial assistance titled Mulchatna Caribou Herd Abundance, Survival, and Body Condition (AKW-R-15-2020), submitted to the U.S. Fish and Wildlife Service (Service) Catalog of Federal Domestic Assistance (CFDA) program 15.611 is approved. This grant contains 1 project. This award is made under the authority of the Wildlife Restoration and Basic Hunter Education Program, 16 U.S.C. 669. For a complete list of this program's authorizing legislation, go to <https://beta.sam.gov> and search by the CFDA program number. This award is made based on approval of your organization's proposal, hereby incorporated by enclosure into this award.

The performance period for this award is February 10, 2020 through March 31, 2023. Only allowable costs resulting from obligations incurred during the performance period may be charged to this award. Liquidate all obligations incurred under the award no later than 90 calendar days after the end of the performance period, unless the Service approves a final financial reporting period extension (see Reporting Requirements section below). If you need more time to complete project activities, you must submit a written request to [ak\\_fa@fws.gov](mailto:ak_fa@fws.gov) at least 30 days before the end of the performance period (see Project Plan and Budget Revisions section below).

Funding for this grant is as follows:

Funding Source	Amount	%
Federal	\$465,451.40	75%
State	\$155,150.46	25%
Total	\$620,601.86	



**Payments:**

Your organization has completed enrollment in U.S. Treasury's Automated Standard Application for Payment (ASAP) system

[https://www.fiscal.treasury.gov/fsservices/gov/pmt/asap/asap\\_home.htm](https://www.fiscal.treasury.gov/fsservices/gov/pmt/asap/asap_home.htm).

When requesting payment in ASAP, your Payment Requestor will be required to enter an Account ID (see table below). Refer to the ASAP.gov Help menu for detailed instructions on requesting payments in ASAP.

ASAP Account ID	Sub-Account	Authorization
F20AF00232-0001-0000	100% Wildlife Restoration	\$465,451.40

Grantee is eligible for reimbursement of amount obligated, not to exceed 75 percent of total allowable expenditures.

**Reporting Requirements:**

Report Title	Due Date
Interim Federal Financial Report (SF-425)	June 29, 2021 and 2022
Interim Performance Report	June 29, 2021 and 2022
Final Federal Financial Report (SF-425)	June 29, 2023
Final Performance Report	June 29, 2023

All reports should be submitted to [ak\\_fa@fws.gov](mailto:ak_fa@fws.gov).

Recipients must use the Standard Form (SF) 425, Federal Financial Report form for all financial reporting. A final SF-425, Federal Financial Report, is required within 90 calendar days of the end date of the award. This form is available online at <http://www.grants.gov/web/grants/forms/post-award-reporting-forms.html> or Wildlife and Sport Fish Restoration Toolkit located at: <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage>. For assistance completing the SF-425, Federal Financial Report, watch the instructional video *Completing the Federal Financial Report (SF-425)* available on the [Service's Financial Assistance Wiki](#).

Performance reports must contain: 1) a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work; 2) a description of reasons why established goals were not met, if appropriate; and 3) any other pertinent information relevant to the project results. Please include the Service award number provided in the subject line of this letter on all reports.

Financial and performance reporting due dates may be extended upon receipt of a written request addressed to the Service at [ak\\_fa@fws.gov](mailto:ak_fa@fws.gov) identifying the type of report to be extended, the requested revised due date, and a justification for the extension. The Service may approve an



additional extension if justified by a catastrophe that significantly impairs the Recipient's operations. Requests for reporting due date extensions must be received by the Program Biologist before the original reporting due date.

For additional information regarding financial and performance reporting requirements and sanctions for noncompliance, see Service Policy [516 FW 1, Monitoring Financial and Performance Reporting for Financial Assistance](#).

**Significant Developments Reports** (see 2 CFR 200.328(d)):

Events may occur between the scheduled performance reporting dates that have significant impact upon the supported activity. In such cases, recipients are required to notify the Service in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective(s) of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify the Service in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

**Terms of Acceptance:**

Service grant and cooperative agreement awards are made based on the application submitted to and approved by the Service, and are subject to the terms and conditions incorporated into the Notice of Award either by direct citation or by reference to Federal regulations; program legislation or regulation; and special award terms and conditions. Recipients indicate their acceptance of an award by starting work, drawing down funds, or accepting the award via electronic means. Recipient acceptance of an award from the Service carries with it the responsibility to be aware of and comply with all terms and conditions applicable to the award. The Federal regulations applicable to Service recipients and their subrecipients and contractors are listed by recipient type in the Service's [Financial Assistance Award Terms and Conditions](#). The "Department of the Interior (DOI) Award Provisions" attached to this Notice of Award also apply (Attachment). If you do not have access to the Internet and require a printed copy of the award terms and conditions, contact the Service Program Biologist identified in the Project Contacts section.

The recipient can initiate termination of award by sending written notice to the Service Program Biologist stating the reasons for termination, the effective date, and in the case of partial termination, the portion to be terminated. For applicable award termination regulations and procedures, see [2 CFR 200.339](#).

**Special Conditions and Provisions:**

**Environmental Compliance**

Recipients of Federal grants are responsible for ensuring that all project activities comply with the requirements of the National Environmental Policy Act (NEPA), Section 7 of the Endangered



Species Act (ESA), and Section 106 of the National Historic Preservation Act (NHPA), per the conditions in the Compliance Checklist (enclosed).

### **Indirect Costs**

Indirect costs under this award are approved on the condition that the recipient will submit an indirect cost rate proposal to [ak\\_fa@fws.gov](mailto:ak_fa@fws.gov) immediately after the award is made and no later than 90 calendar days past the performance period start date. The recipient is not authorized to charge indirect costs under this award until the recipient has received, and provided a copy to the Service, an approved negotiated indirect cost rate agreement from the Federal government. In the event the recipient fails to establish an approved rate before the end of the performance period, the Service may either: 1) deobligate the Federal amount budgeted for indirect costs and, if not otherwise prohibited by legislation or regulation, allow the recipient to use costs otherwise allocable as indirect costs to satisfy cost-sharing or matching requirements; or 2) allow the recipient to transfer the amount otherwise allocable as indirect costs to direct costs. Service approval of such budget changes will depend on the particular award circumstance. Recipients may not shift indirect costs otherwise allocable to this award to another Federal award unless specifically authorized by legislation.

If the Recipient has submitted an indirect cost rate proposal to the cognizant agency within the required timeframe but the cognizant agency has delayed approval of the proposal, the Recipient must provide to the Service Program Biologist a copy of the submitted proposal, the name of the cognizant agency, and evidence of the proposal submission date in the form of either a copy of an emailed submission or written confirmation of the proposal receipt date from the cognizant agency. Upon review of the documentation and consultation with the cognizant agency, the Service may give written approval to the Recipient to charge indirect costs at their proposed rate until their proposal is approved. Service approval to charge indirect costs based on a proposed rate will depend on the circumstance; the Service will not approve a Recipient to charge indirect costs based on a proposed rate if rate approval delays are due to the Recipient having submitted a late, incomplete, or inaccurate proposal. The Recipient must receive written prior approval from the Service before charging indirect costs based on a proposed rate. The award may be subject to further revision if the approved rate is higher or lower than the proposed rate.

### **Grant Level Accounting**

Cost accounting and financial reporting is required at the grant level. As an attachment to each interim or final SF-425 for this grant, you must include a tabular (spreadsheet) financial summary by Budget Object Code for each project.

### **Pre-award Costs**

Your organization is authorized to be reimbursed for \$58,741.90 of direct and indirect pre-award expenses incurred 90 calendar days prior to the effective date of this award and necessary to comply with the proposed project schedule/performance period. Pre-award expenses are allowable in accordance with 2 CFR 200.458 and only to the extent that they are authorized by the approved SF-424, scope of work, and project budget hereby incorporated by reference under this award.



**Equipment**

The Service approves the acquisition of equipment under this award, as budgeted in the project application. In order to meet the requirements for substantial in character and design, the U.S. Fish and Wildlife Service is applying the additional equipment requirements cited under 2 CFR 200.313(c) as a condition of this award.

Beyond the acquisition grant period of performance and throughout the duration of the equipment's useful life, the equipment must continue to be used in the program or project for which it was acquired, as long as needed, whether or not the project or program continues to be supported by the Federal award. When no longer needed for the original program or project, equipment may be used in other activities in the following order of priority:

- (1) Activities supported under a Federal award from the Federal awarding agency which funded the original program or project; then
- (2) Activities under Federal awards from other Federal awarding agencies; then
- (3) Any activities consistent with the administration of the State fish and wildlife agency.

**System for Award Management (SAM) Registration**

Under the terms and conditions of this award, your organization must maintain an active SAM registration at [www.SAM.gov](http://www.SAM.gov) until the final financial report is submitted or final payment is received, whichever is later. If your organization's SAM registration expires during the required period, the Service will suspend payment under this and all other Service awards to your organization until you update your organization's SAM registration.

**Project Plan and Budget Revisions**

Recipients are required to report deviations from budget, project scope or objective, and request prior approvals for budget and program plan revisions in accordance with 2 CFR 200.308 unless otherwise specifically waived in this award.

**Grant Period of Performance Extensions**

If additional time is needed to complete the approved grant, you must send an SF-424 and written notice to the Service at [ak\\_fa@fws.gov](mailto:ak_fa@fws.gov). This notice must be received at least 30 days before the authorized performance period end date, and must include supporting reasons and a proposed revised end date. Extensions for time cannot be authorized for the purpose of spending an unused balance of funds that remains after the approved project activities have been completed.

**Conflict of Interest Disclosures**

Recipients are responsible for notifying the Service Project Officer in writing of any conflicts of interest that arise during the life of this award, including those reported to them by any subrecipient under the award. Conflicts of interest include any relationship or matter that might place the recipient, including their employees and subrecipients, in a position of conflict, real or apparent, between their responsibilities under the award and any other outside interests. Conflicts of interest include direct or indirect financial interests; close personal relationships; positions of



trust in outside organizations; consideration of future employment arrangements with a different organization; and decision-making authority related to the proposed project. Conflicts of interest are those circumstances, real or perceived, that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the recipient, or the recipient’s employees or subrecipients, in matters pertaining to the award. Recipients must notify the Service in writing if any employees, including subrecipient and contractor personnel, are related to, married to, or have a close personal relationship with any Federal employee within the Federal program issuing this award. The term employee means any individual engaged in the performance of work under the Federal award. Recipients may not have a former Federal employee as a key project official, or in any other substantial role related to their award, whose participation put them out of compliance with the legal authorities addressing post-Government employment restrictions. See the U.S. Office of Government Ethics website at <https://oge.gov/> for more information on these restrictions. The Service will examine each conflict of interest disclosure based on its particular facts and the nature of the project and will determine if a significant potential conflict exists. If it does, the Service will work with the recipient to determine an appropriate resolution. Failure to disclose and resolve conflicts of interest in a manner that satisfies the Service may result in any of the remedies described in [2 CFR 200.338 Remedies for Noncompliance](#), including termination of this award.

**Other Mandatory Disclosures**

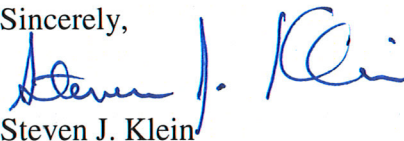
Recipients of Federal awards must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the term and condition outlined in [2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters](#) are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in [2 CFR 200.338 Remedies for Noncompliance](#), including suspension or debarment.

**Grant Contacts:**

<b>Service Program Biologist for this award:</b>	<b>Recipient Project Officer for this award:</b>
Kyle James 907-786-3696 kyle_james@fws.gov	Brenda Bowers 907-465-6198 brenda.bowers@alaska.gov

Thank you for your partnership in conserving Alaska’s wildlife. Please contact the Service Program Biologist if you have any questions related to this grant.

Sincerely,



Steven J. Klein

Chief, Wildlife and Sport Fish Restoration Program



Ms. Samantha Gatton

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cc: [dfg.dwc.awardletters@alaska.gov](mailto:dfg.dwc.awardletters@alaska.gov)  
[brenda.bowers@alaska.gov](mailto:brenda.bowers@alaska.gov)

Enclosures: Attachment: DOI Award Provisions  
SF424  
Budget  
Cover Letter  
Project Statement  
Compliance Checklist



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## **Attachment: DOI Award Provisions**

### **I. Conflicts of Interest**

#### **(a) Applicability.**

(1) This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.

(2) In the procurement of supplies, equipment, construction, and services by recipients and by subrecipients, the conflict of interest provisions in 2 CFR 200.318 apply.

#### **(b) Requirements.**

(1) Non-Federal entities must avoid prohibited conflicts of interest, including any significant financial interests that could cause a reasonable person to question the recipient's ability to provide impartial, technically sound, and objective performance under or with respect to a Federal financial assistance agreement.

(2) In addition to any other prohibitions that may apply with respect to conflicts of interest, no key official of an actual or proposed recipient or subrecipient, who is substantially involved in the proposal or project, may have been a former Federal employee who, within the last one (1) year, participated personally and substantially in the evaluation, award, or administration of an award with respect to that recipient or subrecipient or in development of the requirement leading to the funding announcement.

(3) No actual or prospective recipient or subrecipient may solicit, obtain, or use non-public information regarding the evaluation, award, or administration of an award to that recipient or subrecipient or the development of a Federal financial assistance opportunity that may be of competitive interest to that recipient or subrecipient.

#### **(c) Notification.**

(1) Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with 2 CFR 200.112, Conflicts of Interest.

(2) Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by subrecipients.

(d) Restrictions on Lobbying. Non-Federal entities are strictly prohibited from using funds under this grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to 43 CFR Part 18 and 31 USC 1352.

(e) Review Procedures. The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

(f) Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the Government may be cause for termination of the award. Failure to make required disclosures may result in any

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of the remedies described in 2 CFR 200.338, Remedies for Noncompliance, including suspension or debarment (see also 2 CFR Part 180).

## **II. Data Availability**

(a) **Applicability.** The Department of the Interior is committed to basing its decisions on the best available science and providing the American people with enough information to thoughtfully and substantively evaluate the data, methodology, and analysis used by the Department to inform its decisions.

(b) **Use of Data.** The regulations at 2 CFR 200.315 apply to data produced under a Federal award, including the provision that the Federal Government has the right to obtain, reproduce, publish, or otherwise use the data produced under a Federal award as well as authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes.

(c) **Availability of Data.** The recipient shall make the data produced under this award and any subaward(s) available to the Government for public release, consistent with applicable law, to allow meaningful third party evaluation and reproduction of the following:

- (1) The scientific data relied upon;
- (2) The analysis relied upon; and
- (3) The methodology, including models, used to gather and analyze data.



